

List of the securities satisfying the conditions of warrants' underlying securities and the limitation for offering (updated 10/23/2020)

No.	Stock symbol	Number of outstanding shares	Actual free-float	Number of freely-transferable shares	Total limitation of warrant's represented shares	Remaining limitation of warrant's represented shares
1	EIB	1.229.432.904	81,95%	1.007.520.265	100.752.026	100.752.026
2	FPT	783.905.110	75,02%	588.085.614	58.808.561	47.770.511
3	HDB	1.255.694.843	67,93%	852.993.507	85.299.350	83.999.377
4	HPG	3.313.282.659	54,55%	1.807.395.690	180.739.569	139.831.401
5	KDH	558.806.579	60,55%	338.357.384	33.835.738	31.335.738
6	MBB	2.773.140.752	56,31%	1.561.555.557	156.155.555	135.435.715
7	MSN	1.174.683.246	33,33%	391.521.926	39.152.192	34.162.192
8	MWG	452.605.894	61,47%	278.216.843	27.821.684	21.255.858
9	NVL	985.564.152	30,67%	302.272.525	30.227.252	29.247.252
10	PNJ	225.124.026	79,14%	178.163.154	17.816.315	13.591.315
11	REE	310.050.926	44,02%	136.484.418	13.648.441	11.298.441
12	SBT	586.740.552	38,39%	225.249.698	22.524.969	20.253.597
13	SSI	600.936.346	58,33%	350.526.171	35.052.617	35.052.617
14	STB	1.803.653.429	94,75%	1.708.961.624	170.896.162	135.646.162
15	TCB	3.500.139.962	65,05%	2.276.841.045	227.684.104	213.884.104
16	TCH	353.271.564	52,09%	184.019.158	18.401.915	15.401.915
17	VHM	3.289.513.918	22,41%	737.180.069	73.718.006	68.278.006
18	VIC	3.382.430.590	31,20%	1.055.318.344	105.531.834	104.341.834
19	VNM	2.089.645.346	45,77%	956.430.675	95.643.067	89.133.270
20	VPB	2.437.748.366	66,93%	1.631.584.981	163.158.498	146.158.498
21	VRE	2.272.318.410	39,67%	901.428.713	90.142.871	82.267.871

Note:

- Data for filtering the list of underlying securities include:
 - + VN30 announced by Hochiminh Stock Exchange on 10/19/2020.
 - + The market capitalization, trading volume and value, free-float on 09/30/2020.

- Data for the calculation of limitation include:
 - + Number of outstanding shares on 10/22/2020.
 - + Actual free-float on 09/30/2020.